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8 UNITED STATES DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA

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11 ERICK GALLO, ARMANDO GUTIERREZ,
12 ANGEL MIRAMONTES, ANTONIO
13 MIRAMONTES, LUIS MIRAMONTES,
14 MANUEL MONTES, and SAMUEL
15 ALVAREZ HARO, individually, on behalf of
16 all others similarly situated, and on behalf of
17 the general public,

Civil No. 08cv0604-JAH (CAB)

18 Plaintiffs,

v.

19 MASCO CORPORATION, a corporation,
20 MASCO SERVICES GROUP
CORPORATION, a corporation, GUY
EVANS, INC., a corporation, BUILDER
SERVICES GROUP, INC., a corporation, and
DOES 1 through 20, inclusive,

**NOTICE AND ORDER FOR EARLY
NEUTRAL EVALUATION
CONFERENCE**

Defendants.

21 IT IS HEREBY ORDERED that an Early Neutral Evaluation of your case will be held
22 on August 6, 2008, at 2:00 p.m. before United States Magistrate Judge Cathy Ann Bencivengo,
23 United States Courthouse, 940 Front Street, Room 1131, San Diego, California.

24 The following are mandatory guidelines for the parties preparing for the Early
25 Neutral Evaluation Conference.

26 1. **Purpose of Conference:** The purpose of the Early Neutral Evaluation
27 Conference (“ENE”) is to permit an informal discussion between the attorneys, parties, and the
28 settlement judge of every aspect of the lawsuit in an effort to achieve an early resolution of the

1 case. All conference discussions will be informal, off the record, privileged and confidential.
 2 Counsel for any non-English speaking parties is responsible for arranging for the appearance of an
 3 interpreter at the conference.

4 2. **Personal Appearance of Parties Is Required:** All parties, adjusters for insured
 5 defendants, and other representatives of a party having full and complete authority to enter into a
 6 binding settlement, and the principal attorneys responsible for the litigation, must be present in
 7 person and legally and factually prepared to discuss settlement of the case.¹

8 3. **Full Settlement Authority Required:** In addition to counsel who will try the
 9 case, a party or party representative with full settlement authority must be present for the
 10 conference. In the case of a corporate entity, an authorized representative of the corporation who
 11 is not retained outside counsel must be present and must have discretionary authority to commit
 12 the company to pay an amount up to the amount of the plaintiff's prayer (excluding punitive
 13 damage prayers). The purpose of this requirement is to have representatives present who can settle
 14 the case during the course of the conference without consulting a superior. Counsel for a
 15 government entity may be excused from this requirement so long as the government attorney who
 16 attends the ENE conference (1) has primary responsibility for handling the case; and (2) may
 17 negotiate settlement offers which the attorney is willing to recommend to the government official
 18 having ultimate settlement authority.

19 Unless there are **extraordinary circumstances**, persons required to attend the
 20 conference pursuant to this Order shall not be excused from personal attendance. Requests for
 21 excuse from attendance for extraordinary circumstances shall be made in writing at least 48 hours
 22 prior to the conference. Failure to appear at the ENE conference will be grounds for sanctions.

23
 24 ¹ "Full authority to settle" means that the individuals at the settlement conference must be authorized to
 25 fully explore settlement options and to agree at that time to any settlement terms acceptable to the parties.
Heileman Brewing Co., Inc. v. Joseph Oat Corp., 871 F.2d 648 (7th Cir. 1989). The person needs to have
 26 "unfettered discretion and authority" to change the settlement position of a party. Pitman v. Brinker Intl., Inc., 216 F.R.D. 481, 485-486 (D. Ariz. 2003). The purpose of requiring a person with unlimited
 27 settlement authority to attend the conference includes that the person's view of the case may be altered
 28 during the face to face conference. Id. at 486. A limited or a sum certain of authority is not adequate.
Nick v. Morgan's Foods, Inc., 270 F.3d 590 (8th Cir. 2001).

1 4. **Confidential ENE Statements Required:** No later than five court days prior to
2 the ENE, the parties shall submit confidential statements of five pages or less directly to the
3 chambers of Magistrate Judge Bencivengo outlining the nature of the case, the claims, and the
4 defenses. These statements shall not be filed or served on opposing counsel.

5 5. **New Parties Must Be Notified by Plaintiff's Counsel:** Plaintiff's counsel
6 shall give notice of the ENE to parties responding to the complaint after the date of this notice.

7 6. **Case Management Under the Amended Federal Rules:** In the event the case
8 does not settle at the ENE, the parties can expect to leave the ENE with Rule 26 compliance dates
9 or deadlines. Parties shall therefore be prepared to discuss the following matters at the conclusion
10 of the ENE conference:

- 11 a. Any anticipated objections under Federal Rule of Civil Procedure
12 26(a)(1)(E) to the initial disclosure provisions of Federal Rule of Civil
13 Procedure 26(a)(1)(A-D);
- 14 b. The scheduling of the Federal Rule of Civil Procedure 26(f) conference
15 within 24 days following the ENE;
- 16 c. The date of initial disclosure and the date for lodging the discovery plan
17 within 14 days following the Rule 26(f) conference; and,
- 18 d. The scheduling of a Case Management Conference pursuant to Federal
19 Rule of Civil Procedure 16(b) within 21 days following the Rule 26(f)
20 conference.

21 The Court will issue an order following the ENE addressing these issues and setting dates as
22 appropriate.

23 7. **Requests to Continue an ENE Conference:** Local Rule 16.1(c) requires that
24 an ENE take place within 45 days of the filing of the first answer. Requests to continue ENEs are
25 rarely granted. However, the Court will consider formal, written *ex parte* requests to continue an
26 ENE conference when extraordinary circumstances exist that make a continuance appropriate. In
27 and of itself, having to travel a long distance to appear in person is not "extraordinary." **Absent**
28 **extraordinary circumstances, requests for continuances will not be considered unless**

1 submitted in *writing* no less than seven (7) days prior to the scheduled conference.

2 Questions regarding this case or the mandatory guidelines set forth herein may be
3 directed to Judge Bencivengo's law clerk at (619) 557-7688.

4 A Notice of Right to Consent to Trial Before a United States Magistrate Judge is
5 attached for your information.

6 DATED: June 30, 2008

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CATHY ANN BENCIVENGO
9 United States Magistrate Judge

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**NOTICE OF RIGHT TO CONSENT TO TRIAL
BEFORE A UNITED STATES MAGISTRATE JUDGE**

In accordance with the provisions of 28 U.S.C. § 636(c), you are hereby notified that a U.S. Magistrate Judge of this district may, upon the consent of all parties on form 1a (available in the clerk's office), conduct any or all proceedings, including a jury or non-jury trial, and order the entry of a final judgment. Counsel for the plaintiff shall be responsible for obtaining the consent of all parties, should they desire to consent.

You should be aware that your decision to consent or not to consent is entirely voluntary and should be communicated solely to the Clerk of Court. Only if all parties consent will the Judge or Magistrate Judge to whom the case has been assigned be informed of your decision.

Judgments of the U.S. Magistrate Judges are appealable only to the U.S. Court of Appeals in accordance with this statute and the Federal Rules of Appellate Procedure.